

# The golden mean in the topology of four-manifolds, in conformal field theory, in the mathematical probability theory and in Cantorian space-time

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## Abstract

In the present work we show the connections between the topology of four-manifolds, conformal field theory, the mathematical probability theory and Cantorian space-time. In all these different mathematical fields, we find as the main connection the appearance of the golden mean.

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## 1. Introduction

First of all let us draw attention to a close connection between  $e^{(\infty)}$  theory and the topology of four-manifolds. This connection between Cantorian space-time and iterated capped surface stems from the fact that the grope heights are given by the Fibonacci numbers. A capped surface is a surface in  $S \times R^2$  ( $S$  a surface) obtained by replacing disks in  $S$  with copies of the picture. The disks are called the caps of the surface. The starting surface is a disk and the iterated capped surface constructions define capped gropes. The original surface is the first stage; the surfaces replacing the first stage caps are the second stage and so on. A capped grope has a height at least  $n$  if the replacement has been done at least  $n - 1$  times.

Let  $a_n$  denote the height after  $n$  steps. The grope heights are then given by [1,2]

$$a_n = 2 + \frac{\sqrt{5} + 3}{2\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n + \frac{\sqrt{5} - 3}{2\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^n.$$

The sequence

$$b_n = \frac{\sqrt{5} + 3}{2\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n + \frac{\sqrt{5} - 3}{2\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^n$$

is the well known Fibonacci sequence. It can be expressed in terms of  $\phi = \frac{\sqrt{5}-1}{2}$  and  $\frac{1}{\phi} = \frac{1+\sqrt{5}}{2}$  as following:

$$b_n = \frac{\sqrt{5} + 3}{2\sqrt{5}} \left( \frac{1}{\phi} \right)^n + \frac{\sqrt{5} - 3}{2\sqrt{5}} (-\phi)^n.$$

The Fibonacci sequence  $b_n$  can be expressed in other way

$$b_n = \frac{1}{\sqrt{5}} \left( \left( \frac{1 + \sqrt{5}}{2} \right)^n - \left( \frac{1 - \sqrt{5}}{2} \right)^n \right)$$

and finally the expression of  $b_n$  in terms of  $\phi$  and  $\frac{1}{\phi}$  can be written as

$$b_n = \frac{\left(\frac{1}{\phi}\right)^n - (-\phi)^n}{\frac{1}{\phi} + \phi}.$$

The grope heights can be now expressed in terms of  $\phi$  as following:

$$a_n = 2 + \frac{\left(\frac{1}{\phi}\right)^n - (-\phi)^n}{\frac{1}{\phi} + \phi}.$$

In the limit these surfaces tend to a fractal-like space akin to  $\varepsilon^{(\infty)}$ . The Cantorian space-time  $\varepsilon^{(\infty)}$  is constructed as an infinite number of elementary Cantor sets with all conceivable Hausdorff dimensions. All possible union and intersections form the  $\varepsilon^{(\infty)}$  Cantorian space-time as shown in detail by El Naschie. This space is defined by three dimensions, the formal dimension  $d_f = \infty$ , the topological dimension  $d_T = 4$  and the Hausdorff dimension  $\langle d_c \rangle = 4 + \phi^3 = 4.236067977$ . The Hausdorff dimension is given in [3] as the expectation of a discrete gamma distribution

$$\langle d_c \rangle = \frac{\sum_{n=0}^{\infty} n^2 \phi^n}{\sum_{n=0}^{\infty} n \phi^n} = \frac{\frac{\phi(1+\phi)}{(1-\phi)^2}}{\frac{\phi}{(1-\phi)^2}} = \frac{1}{\phi^3} = 4.236067977,$$

where  $\phi = \frac{\sqrt{5}-1}{2} = 0.618033983$  is the golden mean. The formula for  $d_c^{(n)}$  is given in [3] as

$$d_c^{(n)} = \left( \frac{1}{d_c^{(0)}} \right)^{n-1}.$$

When setting  $n = 4$  and  $d_c^{(0)} = \phi$  we obtain

$$d_c^{(4)} = \frac{1}{\phi^3}.$$

The Hausdorff dimension of  $\varepsilon^{(\infty)}$  is now

$$\langle d_c \rangle = d_c^{(4)} = \frac{1}{\phi^3} = 4 + \phi^3 = 4.236067977.$$

The exact topological embedding dimension corresponding to the Hausdorff expectation dimension  $\frac{1}{\phi^3} = 4 + \phi^3$  is exactly  $d_T = 4$ .

In [4] the connection between the geometry of four-manifolds and  $\phi$  is explained. The dimension of the kernel of  $\varepsilon^{(\infty)}$  is  $\text{Dim Ker } \varepsilon^{(\infty)} = \phi$ . The limit set with the dimension  $\phi$  is equal to the dimension of the kernel of  $\varepsilon^{(\infty)}$  and it is a Cantor-like set. Thus the Cokernel of  $\varepsilon^{(\infty)}$  has the same dimension as the complement of the limit set

$$\text{Dim CoKer } \varepsilon^{(\infty)} = 1 - \phi = \phi^2.$$

Following [4]

$$\tau = \text{Dim Ker } \varepsilon^{(\infty)} - \text{Dim CoKer } \varepsilon^{(\infty)} = \phi - \phi^2 = \phi(1 - \phi) = \phi\phi^2 = \phi^3,$$

and the inverse value of  $\tau$  is equal to the Hausdorff dimension of  $\varepsilon^{(\infty)}$

$$\frac{1}{\tau} = \frac{1}{\phi^3} = 4 + \phi^3.$$

## 2. Knot theory

Next, we write down some theorems and definitions related to knots in higher dimensions.

**Theorem 1.** *A locally flat embedding  $f: S^2 \rightarrow S^4$  is unknotted (isotopic to the standard embedding) if and only if for a homomorphism  $\pi_1$  is*

$$\pi_1(S^4 - f(S^2)) \approx \mathbb{Z}.$$

Following [2] it is shown that for  $n \geq 2$  an embedding  $f: S^n \rightarrow S^{n+2}$  is unknotted if and only if the complement is homotopy equivalent to  $S^1$ . In higher dimensions there are many knots whose complements have fundamental group  $Z$ , but not the homotopy type of  $S^1$ .

**Definition 2.** We call an embedding of  $S^1$  in a homology 3-sphere  $N$  “ $Z$ -slice” if it extends to a (locally flat) embedding of  $D^2$  in the contractible four-manifold bounding  $N$  so that the complement has fundamental group  $Z$ , but not the homotopy type of  $S^1$ .

**Theorem 3.** An embedding  $f: S^1 \rightarrow N$ ,  $N$  a three-dimensional manifold homology sphere, is  $Z$ -slice if and only if the natural homomorphism  $\pi_1(N - f(S^1)) \rightarrow Z$  has a perfect kernel, or equivalently, the Alexander polynomial of the knot is 1.

If  $f: S^n \rightarrow S^{n+2}$  is an embedding then there is a map  $(S^{n+2} - f(S^n)) \rightarrow S^1$  which is a  $Z$  homology equivalence, but when  $n > 4$  usually is not a homology equivalence even if  $\pi_1(S^{n+2} - f(S^n)) \approx Z$ .

This theory does not extend to dimension 4, even for good fundamental groups, these are poly-(finite or cyclic) groups following the Definition 2. In [4] a new view point of how to obtain a real knot in a four-dimensional space is explained. All knots are equivalently trivial and dissolve in this higher dimensional space. A geometrical object corresponding to the circle must have the dimension 2. In a four dimensional space we have therefore a codimension 2. The topological dimension of  $\varepsilon^{(\infty)}$  is four. We can have a knot only when the object corresponding to the circle is of the dimension 2 and also the codimension is equal 2. The Frisch–Wasserman–Delbrück conjecture says that the probability for a randomly embedded circle to be knotted tend to one as the length of the circle tends to infinity. For a fractal circle the length is infinite and a fractal circle is everywhere knotted. This is an important mathematical result, which could be used to explain the existence of elementary particles as knots in the fabric of space-time.

### 3. Polylogarithm identities in a conformal field theory

In conformal field theory it might be surprising to know that we encounter the golden mean. Polylogarithm identities can be expressed in term of  $2 - \tau = \phi^2$ , where  $\tau = \frac{1}{\phi}$ ,  $\phi = \frac{\sqrt{5}-1}{2}$  and also in term of  $\phi$ . The three identities following [5] are given:

$$\begin{aligned} \text{Li}_2(z) + \text{Li}_2(1-z) &= \frac{\pi^2}{6} - \log z \log(1-z), \\ \text{Li}_2(z) + \text{Li}_2\left(\frac{-z}{1-z}\right) &= -\frac{1}{2} \log^2(1-z), \\ \frac{1}{2} \text{Li}_2(z^2) + \text{Li}_2\left(\frac{-z}{1-z}\right) - \text{Li}_2(-z) &= -\frac{1}{2} \log^2(1-z). \end{aligned}$$

For the value  $2 - \tau = \phi^2 = \frac{3-\sqrt{5}}{2}$  it is possible to express  $\text{Li}_2(z)$  in terms of elementary functions as [5]

$$\text{Li}_2(\phi^2) = \frac{\pi^2}{15} - \frac{1}{4} \log^2 \phi^2.$$

The dilogarithm  $\text{Li}_2(\phi^2)$  can be written in term of  $\phi$

$$\text{Li}_2(\phi^2) = \frac{\pi^2}{15} - \log \phi.$$

Similar it is possible to obtain  $\text{Li}_2(\phi)$  from the first identity by inserting for  $z = \phi^2$

$$\text{Li}_2(\phi^2) + \text{Li}_2(1 - \phi^2) = \frac{\pi^2}{6} - \log(\phi^2) \log(1 - \phi^2),$$

where  $1 - \phi^2 = \phi$ ,

$$\begin{aligned} \text{Li}_2(\phi) + \text{Li}(\phi) &= \frac{\pi^2}{6} - 2 \log^2 \phi, \\ \text{Li}_2(\phi) &= \frac{\pi^2}{6} - 2 \log^2 \phi - \text{Li}_2(\phi^2), \\ \text{Li}_2(\phi) &= \frac{\pi^2}{10} - \log^2 \phi. \end{aligned}$$

Inserting  $z = \phi^2$  in the second identity we can express  $\text{Li}_2(-\phi)$  in term of  $\phi$

$$\text{Li}_2(\phi^2) + \text{Li}_2\left(\frac{-\phi^2}{1-\phi^2}\right) = -\frac{1}{2}\log^2(\phi), \quad \left(\frac{-\phi^2}{1-\phi^2}\right) = -\phi,$$

$$\text{Li}_2(-\phi) = -\frac{1}{2}\log^2\phi - \text{Li}_2(\phi^2),$$

$$\text{Li}_2(-\phi) = -\frac{1}{2}\log^2\phi - \frac{\pi^2}{15} + \log^2\phi,$$

$$\text{Li}_2(-\phi) = -\frac{\pi^2}{15} + \frac{1}{2}\log^2\phi.$$

Finally we insert  $z = \phi$  in the second identity and obtain the expression for  $\text{Li}_2\left(-\frac{1}{\phi}\right)$

$$\text{Li}_2(\phi) + \text{Li}_2\left(\frac{-\phi}{1-\phi}\right) = -\log^2\phi,$$

$$\text{Li}_2(\phi) + \text{Li}_2\left(\frac{-\phi}{\phi^2}\right) = -2\log^2\phi,$$

$$\text{Li}_2\left(-\frac{1}{\phi}\right) = -2\log^2\phi - \text{Li}(\phi),$$

$$\text{Li}_2\left(-\frac{1}{\phi}\right) = -2\log^2\phi - \frac{\pi^2}{10} + \log^2\phi,$$

$$\text{Li}_2\left(-\frac{1}{\phi}\right) = -\frac{\pi^2}{10} - \log^2\phi.$$

The dilogarithms  $\text{Li}_2(\phi^2)$ ,  $\text{Li}_2(\phi)$ ,  $\text{Li}_2(-\phi)$ ,  $\text{Li}_2\left(-\frac{1}{\phi}\right)$  could be expressed in terms of elementary functions and  $\phi$ . Polylogarithm identities in  $D = 3$  are similar. Following [5] one finds

$$\frac{1}{4}\text{Li}_3(z^2) = \text{Li}_3(z) + \text{Li}_3(-z),$$

$$\text{Li}_3(z) + \text{Li}_3\left(\frac{-z}{1-z}\right) + \text{Li}_3(1-z) = \text{Li}_3(1) + \frac{\pi^2}{6}\log(1-z) - \frac{1}{2}\log z \log^2(1-z) + \frac{1}{6}\log^3(1-z).$$

For  $z = \phi$  we obtain the following expression:

$$\text{Li}_3(\phi^2) = \frac{4}{5}\text{Li}_3(1) + \frac{\pi^2}{15}\log(\phi^2) - \frac{1}{12}\log^3(\phi^2).$$

The polylogarithm  $\text{Li}_3(\phi^2)$  can be written in term of  $\phi$  as

$$\text{Li}_3(\phi^2) = \frac{4}{5}\text{Li}_3(1) + \frac{2\pi^2}{15}\log\phi - \frac{2}{3}\log^3\phi.$$

We see that the properties of the golden mean are of a great importance for the expression of polylogarithms in terms of elementary functions.

#### 4. Mathematical probability theory

The mathematical theory of probability plays a major role in quantum mechanics. Following [6–8] we see that classical probability is involved in the two-slit experiment. The probability to observe a wave like or a particle like behavior is identical and so we can conclude that particles and waves are fundamentally indistinguishable in quantum space-time.

A particle could pass one of the two slits. The probability that the particle goes through slit 1 is  $P_1$  and the probability of going through slit 2 is  $P_2$ . Following [6–8] the total probability is given by the union or subtraction of the two events as

$$P = |P_1 \pm P_2|$$

where  $P_1 + P_2 = 1$ .

But a quantum particle can pass through slit one and slit two simultaneously. In this case the intersection rule gives us

$$P = |\pm P_1 P_2|.$$

The final result is now the equation of Gödel undecidability [7]

$$|P_1 \pm P_2| = |\pm P_1 P_2|$$

By inserting  $P_2 = 1 - P_1$  in the above condition we find

$$P_1^2 + P_1 - 1 = 0,$$

as our first equation.

The solution of this equation is

$$P_1^{(1)} = \phi \quad \text{and} \quad P_1^{(2)} = -\frac{1}{\phi},$$

where  $P_2^{(2)} = \phi^2$  and  $P_2^{(2)} = \frac{1}{\phi^2}$ ,  $\phi = \frac{\sqrt{5}-1}{2}$ .

A second equation which we obtain by inserting  $P_2 = 1 - P_1$  is

$$P_1^2 - 3P_1 + 1 = 0.$$

The solution of this quadratic equation is

$$P_1^{(1)} = \phi^2 \quad \text{and} \quad P_1^{(2)} = \frac{1}{\phi^2},$$

where  $P_2^{(1)} = -\frac{1}{\phi}$  and  $P_2^{(2)} = \phi$ .

The total probability is thus

$$|P_1 P_2| = |P_1 - P_2| = |\phi - \phi^2| = \phi^3$$

and for  $P_1^{(2)} = -\frac{1}{\phi}$  we obtain

$$|P_1 P_2| = |P_1 - P_2| = \left| -\frac{1}{\phi} \cdot \frac{1}{\phi^2} \right| = \frac{1}{\phi^3} = 4 + \phi^3.$$

We see that the inverse of the total probability of the first solution  $\phi^3$  is  $\frac{1}{\phi^3} = 4 + \phi^3$ . This is the expectation value of the Hausdorff dimension of Cantorian space-time  $\varepsilon^{(\infty)}$ . We should recall that the value of the golden mean  $\phi = \frac{\sqrt{5}-1}{2}$  is the Hausdorff dimension of a randomly constructed Cantor set. This is the Mauldin–Williams theorem which states that with the probability one the Hausdorff dimension of a randomly constructed Cantor set is  $\phi = \frac{\sqrt{5}-1}{2}$ . The Cantorian space-time is consequently a large fuzzy manifold and the hyper Kähler manifold following [7] is what is required by the two-slit experiment as a substructure of  $\varepsilon^{(\infty)}$ .

The two-slit experiment can be extend to a 3, 4, ... slit experiment. The solutions of both previous equations are  $\phi$  and  $\phi^2$  remain the same and the total probability is  $4 + \phi^3$  which is the Hausdorff dimension of  $\varepsilon^{(\infty)}$

$$\langle d_c \rangle = 4 + \phi^3.$$

This Hausdorff dimension can also be written as

$$\langle d_c \rangle = \sum_{n=1}^{\infty} n\phi^n = 1 \cdot \phi^1 + 2 \cdot \phi^2 + 3 \cdot \phi^3 + \dots = 4 + \phi^3.$$

In this sum there appears the solutions  $\phi$  and  $\phi^2$ . But the solutions for 3, 4, ... slit experiments are all elements of the values of  $\phi^n$  following [6]. The equation, which we obtain after rearrangements, should be a quadratic equation of the form

$$P_1^2 + (-1)^{n-1} a_n P_1 + (-1)^n = 0,$$

where  $a_1 = 1$ ,  $a_2 = 3$ ,  $a_n = a_{n-2} + a_{n-1}$ ,  $n > 2$ .

The solutions of these quadratic equations are the values of  $\phi^n$ .

The value of the golden mean  $\phi = \frac{\sqrt{5}-1}{2}$  appears also in another situations. It is conjectured that for three variables  $X$ ,  $Y$ ,  $Z$  such that  $P(X > Y)$ ,  $P(Y > Z)$  and  $P(Z > X)$  can all three probabilities be as large as the golden mean  $\phi$  following a classical text book on theory of probability [9].

We can write the sum of three probabilities as

$$P = P_1 + P_2 + P_3 - P_1 P_2 - P_2 P_3 - P_1 P_3 + P_1 P_2 P_3,$$

and  $P \leq 1$ . If  $P_1 = P_2 = P_3$ , then we can write

$$P = 3P_1 - 3P_1^2 + P_1^3,$$

and for the values  $0 < P_1 < \phi$  and  $\phi < P_1 < 1$  the probability  $P < 1$ . For  $P_1 = \phi$  the probability  $P$  is very close to 1.

## 5. Conclusion

The golden mean  $\phi = \frac{\sqrt{5}-1}{2}$  is fundamental in the Cantorian space-time  $\varepsilon^{(\infty)}$ . In other mathematical fields such as in mathematical analysis, in conformal field theory, in the topology of four-manifold the golden mean is also of great importance. In the present work we presented the connections between random Cantor set and the two-slit gedanken experiment following [6–8]. It is extremely important to notice that in our discussion of the two-slit experiment we do not use anywhere wave equations of any type. Wave-like behavior is not identical to wave. Also the notion of probability wave is not used or accepted in the present work. Our solution is thus a reinterpretation of Feynman path integral following El Naschie's modification of micro space-time geometry and topology.

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